



COPY OF PAPERS
ORIGINALLY FILED

#2 2152

10-15-03

Docket No. GB920010076US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: S. A. J. Holdsworth

Serial No.: 10/016,935

Filed: 12/14/2001

For: Selection of communication Strategies for Message Brokers or Publish/Subscribe Communications

RECEIVED

US Patent and Trademark Office

FEB 27 2002

Washington, DC 20231

Technology Center 2100

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Information Disclosure Statement and Form PTO-1449 and 3 references.
2. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

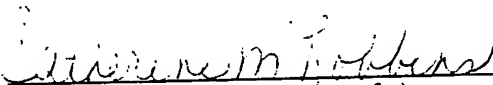
US Patent and Trademark Office

Washington, DC 20231

on January 24, 2002

Catherine M. Robbins

(Name of person mailing paper or fee)


(Signature of person mailing paper or fee)

10/15/03

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : January 24, 2002
S.A.J. Holdsworth : IBM Corporation
Filing Date: 12/14/2001 : Dept.T81/Bldg. 503-3
Serial No. 10/016,935 : P.O. Box 12195
For: Selection of Communication Strategies for Message Brokers : Research Triangle Park,
or Publish/Subscribe Communications : North Carolina 27709



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CF 1.56 and 37 C.F.R. 1.97(e)(1).

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

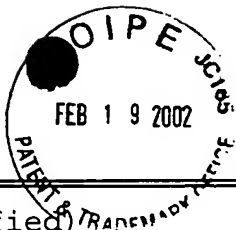
A. Bruce Clay
Attorney for Applicants
Registration No. 32,121

ABC/cmr
PHONE: 919-254-6717
FAX: 919-254-4330

RECEIVED

FEB 27 2002

Technology Center 2100



COPY OF PAPER
ORIGINALLY FILED

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.

SERIAL NO.

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

GB920010076US1

10/016,935

APPLICANT: S. A. J. Holdsworth

(Use several sheets if necessary)

FILING DATE:

GROUP:

12/14/2001

2152

RECEIVED

FEB 27 2002

Technology Center 2100

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
AA	5 5 3 7 4 1 7	07/16/1996	IBM	G06F	13/00	01/29/1993
AB	6 1 0 1 5 4 5	08/08/2000	Hughes Electronics	H04J	3/02	10/21/1996
AC	5 9 9 5 5 0 3	11/30/1999	Bay Networks, Inc.	H04L	12/46	
AD						
AE						

FOREIGN PATENT DOCUMENTS

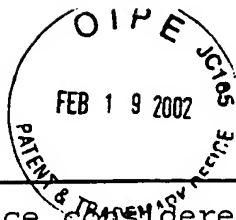
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO
AL					
AM					
AN					

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	
AS	

EXAMINER

DATE CONSIDERED



COPY PAPERS
ORIGINALLY FILED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED
FEB 27 2002
Technology Center 2100